

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|---|-----------------|------------------|
| Applicant: | Karlheinz Haubennestel et al. | Examiner: | Daniel Metzmaier |
| Serial No.: | Unknown | Group Art Unit: | Unknown |
| Filed: | Herewith | Docket: | 1238.002US2 |
| Title: | DISPERSING AGENTS FOR PIGMENTS OR EXTENDERS BASED ON ACRYLIC ACID ALKYL ESTER POLYMERS | | |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

P.O.Box 1450

Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

Pursuant to 37 C.F.R. §1.98(d), copies of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 09/075,613, filed on May 11, 1998, which is relied upon for an earlier filing date under 35 U.S.C. §120.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,
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Date July 21, 2003

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Date of Deposit: July 21, 2003

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Mail Stop Patent Application, P.O.Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|-----------------------------|-------------------------|
| Application Number | Unknown |
| Filing Date | Even Date Herewith |
| First Named Inventor | Haubennestel, Karlheinz |
| Group Art Unit | Unknown |
| Examiner Name | Metzmaier, Daniel |

Sheet 1 of 2

Attorney Docket No: 1238.002US2

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Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number

Unknown

Filing Date

Even Date Herewith

First Named Inventor

Haubennestel, Karlheinz

Group Art Unit

Unknown

Examiner Name

Metzmaier, Daniel

Sheet 2 of 2

Attorney Docket No: 1238.002US2

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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EXAMINER**DATE CONSIDERED**

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